



**** You are entitled to a special discount of \$50 off the registration fee for this event courtesy of Shulman, Rogers, Gandal, Pordy & Ecker LLP. See info below for details. ****

Summary:

Since the enactment of the Dodd-Frank Wall Street Reform and Consumer Protection Act in 2010, almost every aspect of the nation's financial services industry has been scrambling to meet considerable regulatory and legal challenges with the whistleblower provisions of the act.

In this Knowledge Group webcast, a panel of speaker experts and thought leaders will discuss the latest and the hottest issues related to the Dodd Frank Act whistleblower provisions. Some of the topics that will be covered are:

- Dodd-Frank's Whistleblower Provisions
- Challenges of the New Whistleblower Environment
- Preventative Measures
- And other up to the minute compliance guidelines update

This 2-hour LIVE webcast was specifically tailored to keep you abreast of the Dodd Frank Act updates. Join this course by clicking on the "Register" button below. Hurry as space is limited and as a bonus, early bird registrants will receive a substantial discount.

Register for this event:

<https://web.memberclicks.com/mc/quickForm/viewForm.do?orgId=gkc&formId=100702>

The event will take place on Tuesday, July 19, 2011 @ 12:00 PM - 2:00 PM ET.

FEATURED SPEAKERS:

Shulman, Rogers, Gandal, Pordy & Ecker LLP

Jacob S. Frenkel
Chairman, Securities Enforcement, White-Collar Crime
and Government Investigations Practice
Former SEC Enforcement Lawyer

Greenberg Traurig LLP

Jonathan L. Sulds
Shareholder & Co-Chair, Labor & Employment Practice

Foley & Lardner LLP

Kenneth B. Winer
Partner
Former Senior Counsel & Branch Chief, SEC's Division of Enforcement

Merrill Corporation

Richard Vestuto, Esq.
Vice President - Client Advisory Services

Proposed Agenda:

- Mandatory nature of the award can wreak havoc on corporate compliance programs and undermine significant advances in corporate compliance and programs
- Creates cultural challenges, such as favoring a "silo mentality," within corporations and legal challenges, such as managing the "attorney-client privilege" for corporations
- Requires new approaches for corporations in dealing with employees and third parties

- SEC does not have the staff to field adequately the whistleblower claims and already has demonstrated a reluctance to close investigations
- Enhancing legal protection through the Development & Implementation of Comprehensive eDiscovery Protocols
- How to effectively preserve data and documents if you're hit with a Whistle Blower suit
- How to approach the 26(f) Meet & Confer in a Whistle Blower suit
- Early Case Assessment and data forensics technologies and their use in Whistle Blower litigation
- Whistleblower rules increase risk that the SEC will detect violations of the federal securities laws.
- Whistleblower bounty rules increase the importance of ensuring that your company's internal compliance processes are effective.
- Whistleblower bounty rules also increase importance of ensuring that your company has procedures in place that will enable it to:
 - follow up promptly on evidence of a material violation of the federal securities laws
 - provide comfort to internal whistleblowers that your company has responded effectively to the whistleblower's complaint

Please check below link for more info and updates about the event:

<https://web.memberclicks.com/mc/quickForm/viewForm.do?orgId=gkc&formId=100702>

Who Should Attend:

Senior Executives, General Counsel, Risk Executives, Attorneys and Consultants who advise clients on FCA related issues, Professionals under Forensic & Dispute Services, Anti-Fraud Consultants

Check for Updates, Speaker Bios, Event Details etc:

http://knowledgecongress.org/event_2011_Whistleblower_Provisions.htm

Registration Information:

Mitigating the Impact of Dodd-Frank's Whistleblower Provisions LIVE Webcast
Tuesday, July 19, 2011 @ 12:00 PM - 2:00 PM ET

****Receive a special discount of \$50 the registration fee courtesy of Shulman, Rogers, Gandal, Pordy & Ecker LLP by applying discount code "shulman4324" on the second page of the registration form. ****

Registration Link (click link below or copy and paste into your browser):

<https://web.memberclicks.com/mc/quickForm/viewForm.do?orgId=gkc&formId=100702>

Question about this event?

Please do not reply directly to this email instead send us an email to info@knowledgecongress.org or call: 1.646.202.9344 or 1.800.578.4370

For Group registrations please click:

http://www.knowledgecongress.org/group_registration.htm or call 1.800.578.4370 for more info.

Important Notice: Discounts apply for early registration. If you have a scheduling conflict and can't attend this live event on its scheduled date, you may want to order the full recording of the event for your review at your convenience.

Please email: info@knowledgecongress.org for any question or if you wish to order the recording.

Course Level: Intermediate

Prerequisite: None

Method Of Presentation: Group-Based-Internet

Developer: The Knowledge Group, LLC
Recommended CLE/CPE Hours: 1.75 - 2.0
Advance Preparation: Print and review course materials

Course Code: 114158

Course Fee: \$199 - \$299
(Early Bird Discount Rate - on or before 07/09/2011)
\$249 - \$349
(Regular Rate - registration after 07/09/2011)
\$149 (Government / Nonprofit Rate)

CLE

We are an approved multi-event sponsor in the state of California. Our provider ID is: 14451. In Texas, Illinois, and Virginia, we submit programs for individual approval in advance. In all other states, once attendance is verified, participants are emailed an official certificate of attendance which they submit to their respective State Bar Associations. Our programs are created with continuing education in mind and are therefore designed to meet the requirements of all State Bar Associations. If you have any questions, please email our CLE coordinator at: info@knowledgecongress.org

Attention New York Attorneys:

This program is approved for CLE credit under New York's Approved Jurisdiction policy. The Knowledge Group, LLC is an approved sponsor in the state of California, a New York Approved Jurisdiction. This program fulfills the non-traditional format requirement of exceeding 60 minutes in length. Please note only experienced attorneys (more than 2 years) are eligible to receive CLE credit via non-traditional format learning platforms. The Knowledge Group will verify attendance during the webcast via secret words (3 per credit hour) and by auditing attendees log in and log out records. All verification instructions will be provided during the webcast. Once attendance verification requirements have been completed, the attendee will be issued a certificate of attendance by The Knowledge Group for the course with the recommended number of credit hours. The Certificate of Attendance is normally sent via email in 24 hours or less.

To Claim Your CLE Credits:

The attorney should simply include credits earned via Knowledge Group webcasts when computing the total number of CLE credits completed, and keep the Knowledge Group Certificate of Attendance for a period of at least four (4) years in case of audit. An attorney may count towards her/his New York CLE requirement credit earned through the Approved Jurisdiction policy without notifying the CLE Board.

To learn more about New York's Approved Jurisdiction policy, please visit:
<http://www.nycourts.gov/attorneys/cle/approvedjurisdictions.shtml>

CPE Sponsors

The Knowledge Conference is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN, 37219-2417. Website: www.nasba.org

Enrolled Agents Sponsor ID Number: 760

We have entered into an agreement with the Office of Professional Responsibility, Internal Revenue Service, to meet the requirements of 31 Code of Federal Regulations, section 10.6(g), covering maintenance of attendance records, retention of program outlines, qualifications of instructors, and length of class hours. This agreement does not constitute an endorsement by the Office of Professional Responsibility as to the quality of the program or its contribution to the professional competence of the enrolled individual.

To view a list of all upcoming events, check our Events Calendar via the link below:
<http://www.knowledgecongress.org/events.htm>

Disclaimer: Please note, the event date is firm although it may be subject to change. Please check http://www.knowledgecongress.org/date_policy.html for details

About The Webcast Organizer:

The Knowledge Congress is a series of live webcasts produced by The Knowledge Group, LLC, which examine trends, regulatory, and technology changes across a variety of industries. "We bring together the world's leading authorities and industry participants through informative two-hour webcasts to study the impact of changing regulations."

Opt-out of all invitation notices:

To remove your email from this list, please click decline@knowledgecongress.org and send an email with "Unsubscribe Whistleblower_Provisions shulman4324" in the subject.

Unsubscribe Whistleblower_Provisions shulman4324

The Knowledge Group, LLC * 123 Town Square Place, STE 242 Jersey City, NJ 07310 *
Phone: 800.578.4370 * Fax: 646.219.5381 * Email: info@knowledgecongress.org

Copyright 2011. The Knowledge Group, LLC. All Rights Reserved.